

New legislation and annual registration

Hyperlinks

SLIDE 5 – IMPORTANT CHANGES TO THE TASA

- Read more about the [TASA changes](#).
- Read more about the independent [review](#) of the TPB and the TASA.

SLIDE 7 – INDEPENDENT REVIEW

Read the [Treasury Laws Amendment \(2023 Measures No. 1\) Act 2023](#)

SLIDE 8 – HOW DOES THIS IMPACT YOUR REGISTRATION?

For more information on the annual registration process refer to our [newsroom article](#) and [FAQs](#).

SLIDE 9 – YOUR ONGOING REGISTRATION REQUIREMENTS

Learn more about your other regulatory obligations such as maintaining [professional indemnity insurance](#) and [continuing professional education](#) requirements.

SLIDE 13 – DRAFT GUIDANCE

- Review our draft guidance on [Code item 15](#) and [Code item 16](#).
- [Provide feedback](#) on our disqualified entities guidance material by 16 February 2024

SLIDE 14 – CODE ITEM 15

Review our [FAQs](#) to learn more about disqualified entities.

SLIDE 19 – ‘KNOW OR OUGHT REASONABLY TO KNOW’ YOU ARE ENGAGING A DISQUALIFIED ENTITY

Check the [TPB Register](#) and the [Financial Advisers Register](#).

SLIDE 20 – SEEKING APPROVAL TO USE A DISQUALIFIED ENTITY

Refer to our [disqualifying events declaration and consent form](#).

SLIDE 27 – NOTIFICATION OBLIGATIONS ON DISQUALIFIED ENTITIES

Learn more about [civil penalty provisions](#).

SLIDE 29 – CONSEQUENCES FOR FAILING TO COMPLY

- Learn more about your [obligations under the Code of Professional Conduct](#) (Code) in relation to disqualified entities.
- Learn more about the [consequences for failing to comply](#) with the Code.

SLIDE 31 - STAY IN TOUCH WITH THE TPB

- Register for free [TPB webinars](#).
- Access our [webinar resources](#).
- Visit our [newsroom](#).
- Check out our [YouTube channel](#) to access webinar recordings and claim free CPE.
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